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UNITED STATES PATENT APPLICATION

for

OPTIMUM FRAME SIZE PREDICTOR FOR  
WIRELESS LOCAL AREA NETWORK

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## OPTIMUM FRAME SIZE PREDICTOR FOR WIRELESS LOCAL AREA NETWORK

### 5 FIELD OF THE INVENTION

The present invention relates to the field of Local Area Networking (LAN). More specifically, the present invention relates to a method and system for optimizing data frame size to maximize channel efficiency in a network.

### 10 BACKGROUND OF THE INVENTION

Modern networks continue to provide electronic devices the ability to communicate with other devices. The continuing growth of networking systems and technology seems limitless and the speed of networked communications has brought benefits to nearly every human endeavor. Local Area Networking (LAN), particularly, is evolving rapidly into wireless connectivity and bringing another level of performance and convenience to the home and business networking environment.

Networks, even if relatively small, can consist of enormous numbers of devices. The complexity of networks continues to expand as does the application of network concepts to more and more disciplines and environments. With the growing market for wireless

data networks, there is considerable interest in integrating the support of new services such as wireless voice telephony, MP3 and other demanding protocols.

In wireless data networking, whether implemented by RF or  
5 infrared technology, data are transmitted over channels in data frames. The rate of frame transmission varies with volume of transmissions throughout the network. The quality of a channel, which is analogous to the rate at which a channel is able to carry data frames error free, varies over time due to burst errors or  
10 changes in the operating environment. At times, usually the times of highest demand, channel quality can cause channel efficiency to be very low. This time-varying channel quality, and thus channel efficiency, is not addressed in wireless network standards such as the current IEEE 802.11 specifications. Many Quality-of-Service  
15 (QoS) requirements are thus very difficult to achieve. Note that IEEE 802.11 is the emerging standard for wireless network data communications.

In wireless networking, the frame size and bit-error rate (BER) affect the channel efficiency. There is an optimum frame size  
20 which depends on the channel quality. When the channel quality is good, the frame size can be larger, and when channel quality is bad,

large frame size will cause very high frame-error-rate (FER).

However, if the frame size selected is too small, the channel efficiency will be lowered because of the fixed overhead in the header of the frame. In current wireless and mobile networks, there is no method for adjusting the frame size dynamically in order to maintain any specified channel efficiency. Since the channel quality is time-varying due to multipath fading, path loss, and other factors, the prevalent static frame size schemes result in the loss of overall system performance.

What is needed, then, is a method for dynamically varying the data frame size in an operating network. Such a method must adjust frame size to the optimum size based on predictions of optimum frame size in a noisy wireless network environment.

SUMMARY OF THE INVENTION

The present invention provides a method for dynamically varying the data frame size in an operating wireless network. The method adjusts the data frame size to the optimum size based on  
5 predictions of optimum size and does so in a noisy wireless network environment.

The present invention relates to a method for predicting an optimum transmission frame size in a wireless computer network. The method comprises assessing transmission channel quality in the  
10 network, calculating an optimum length for the transmission frame, adjusting the length of the transmission frame to the predicted optimum length, transmitting the frame at its adjusted length and assessing the quality of the transmission of the frame. Prediction of the optimum frame size employs a Kalman filter which employs  
15 the parameters of bit error rate and random processing noise in the calculation of the predicted optimum frame length.

These and other objects and advantages of the present invention will become obvious to those of ordinary skill in the art after having read the following detailed description of the preferred  
20 embodiments which are illustrated in the various drawing figures.

## BRIEF DESCRIPTION OF THE DRAWINGS

The operation of this invention can be best visualized by reference to the drawings.

Figure 1 illustrates a typical wireless LAN implemented with  
5 a server and work centers.

Figure 2 illustrates a block diagram of a typical computing device in which the present invention operates in one embodiment.

Figure 3 illustrates a block diagram of a typical frame format  
in a wireless network in accordance with one embodiment of the  
10 present invention.

Figure 4 illustrates a block flow diagram of an optimum frame size predictor in accordance with one embodiment of the present invention.

The drawings referred to in this description should be  
15 understood as not being drawn to scale except if specifically noted.

DETAILED DESCRIPTION

Reference would now be made in detail to the preferred  
embodiments of the invention, examples of which are illustrated in  
the accompanying drawings. While the invention will be described in  
5 conjunction with the preferred embodiments, it will be understood  
that they are not intended to limit the invention to these  
embodiments. On the contrary, the invention is intended to cover  
alternatives, modifications and equivalents, which may be included  
within the spirit and scope of the invention as defined by the  
10 appended claims. Furthermore, in the following detailed description  
of the present invention, numerous specific details are set forth in  
order to provide a thorough understanding of the present invention.  
However, it will be obvious to one of ordinary skill in the art that  
the present invention may be practiced without these specific  
15 details. In other instances, well-known methods, procedures,  
components, and circuits have not been described in detail so as not  
to unnecessarily obscure aspects of the present invention.

Some portions of the detailed descriptions that follow are  
presented in terms of procedures, logic blocks, processing, and other  
20 symbolic representations of operations on signals within an  
electronic circuit. These descriptions and representations are the

means used by those skilled in the electronic arts to most effectively convey the substance of their work to others skilled in the art. A procedure, logic block, process, etc., is here, and generally, conceived to be a self-consistent sequence of steps or

5 instructions leading to a desired result. The steps are those requiring physical manipulations of physical quantities. Usually, though not necessarily, these quantities take the form of electrical or magnetic signals capable of being stored, transferred, combined, compared, and otherwise manipulated in an electronic system.

10 There are many conceivable embodiments of the present invention. However, the concepts underlying the present invention may be best understood by the discussion of only a few embodiments. This discussion in no way limits the application of the concepts nor determines the limit to embodiments possible.

15 The development of wireless LANs has been accomplished primarily by adapting wired LAN techniques to RF technology. The channel access mechanism used in most wireless LANs is closely derived from Ethernet and is based on packet, or frame, contention. As the wireless medium is different from the wired medium, the

20 medium access control (MAC) protocol has been adapted to take



these differences into account, and wireless MAC protocols continue to evolve to better use wireless channels.

One of the main constraints of the wireless medium is that bandwidth is limited. A shared medium capable of 100 Mb/s is very common in the wired world, but the bit rate of common wireless LANs is only slowly gaining on wired counterparts. Because the resource is limited, the medium is very likely to be used to its full capacity, and the efficiency and the quality of service of the protocol is a real concern

In wireless networking, all data transmission is contained in frames, or packets. The optimum frame size depends on the channel quality. When the channel quality is good, the frame size can be larger, and when channel quality is bad, a large frame size will cause very high frame-error-rate (FER). However, if the frame size selected is too small, channel efficiency will be much lowered because of the fixed overhead in the header of each frame. In current wireless and mobile networks, there is no method of adjusting the frame size dynamically in order to maintain any specified channel efficiency. Since the channel quality is time-varying due to multipath fading, path loss, and other factors, the

prevalent static frame size schemes result in the loss of overall system performance.

The evolving standard for wireless high speed network communications is IEEE 802.11 which is incorporated herein by reference. In the implementation of the IEEE 802.11 standard, fragmentation is used to improve the channel efficiency by fragmenting the size of larger frames into several smaller size frames. However, there does not, prior to the present invention, exist any optimum scheme of dynamically changing the fragmentation threshold according to the changing channel quality of the channels in use.

In this discussion of embodiments of the present invention, a theoretical model to characterize the relationship between channel quality and frame size is derived. Based on this theoretical model, an optimum predictor can be developed. With the approach this affords, an optimum frame size can be accurately predicted. Hence the system performance in terms of channel efficiency can be improved and can be maintained.

In the embodiment discussed herein, a series of computing processes for changing the fragmentation dynamically is disclosed.

However, in operation, the computing processes' performances will depend on an optimum fragment threshold which is acquired from experiments and no prediction method is adopted. In network operation this is not very practical since the network environment and the channel quality may be very different from one environment to another and from one moment to another.

There are some processes extant that focus on wireless channel parameter prediction. The resultant predictions are for maximum channel efficiency, the maximum likelihood, and the moving average. The maximum channel efficiency process is unable to achieve a closed form prediction equation and it is computationally intensive. The maximum likelihood process has the closed form of a prediction equation but it is still computationally intensive. The moving average process must maintain past values for computing the next value, so it is memory dependent and memory consuming. Moreover, the prediction effects of these techniques are very loose. These existing predictive processes are unable to accurately predict a frame size or a fragmentation threshold in a wireless network environment, especially in a wireless LAN environment.

One embodiment of the present invention develops an optimum system parameter predictor. The basis of this predictor would be the current channel quality measured in terms of signal to noise ratio (SNR). The example used in the embodiment discussed here is a Local Optimum Frame Size Predictor (LOFSP). The local optimum frame size used for transmission at the next transmission time slot can be accurately predicted, thus channel efficiency can be improved greatly. In this way, the committed quality of service (QoS) can be well maintained even though the channel quality may be changing quickly.

In IEEE 802.11-based wireless local area networking, an LOFSP can be very effective in deciding the optimum fragmentation threshold dynamically with current channel quality. With an accurate predictor, the system performance can be improved and maintained.

This embodiment of the present invention can also be applicable to other system parameters such as modulation schemes, TCP congestion window size etc.

The LOFSP discussed here makes use of signal estimation and prediction techniques such as a Kalman Filter. Since a Kalman Filter does not require the maintenance of all previous values to predict a next value, the demand on memory can be reduced, resulting in a cost

savings, yet at the same time can give very accurate prediction results. The LOFSP predictor can achieve the committed channel efficiency by predicting the optimum frame size for the next frame under the noisy wireless environment. This requires theoretical  
5 characterization of the problem and then use of the derived model and filtering technique to improve the system performance.

The discrete Kalman filter is used in situations where a continuous process is sampled at discrete time intervals, very much as is the case in an operating Ethernet where communications are  
10 ongoing and the frame transmission process is sampled at discrete intervals. The filter is a recursive, predictive, update technique used to determine the correct parameters of the process model. Given some initial estimates, it allows the parameters of a model to be predicted and adjusted with each new measurement, providing an  
15 estimate of error at each update. Its ability to incorporate the effects of noise (from both measurement and modeling), and its computational structure, has made it very popular for use in computer related applications. It is necessary to note at this point that there are other filtering techniques well suited to use in this  
20 embodiment of the present invention. However, a discrete Kalman filter is particularly adept at the requirements identified herein.

The application of Kalman filtering in this embodiment of the present invention requires a recursive assessment of channel quality in the wireless communication of data. This assessment is made by assessing the bit error rate and basing the predicted optimum frame size on the feedback that such an assessment provides.

The closed form prediction model can be as described below:

$$L(k+1)=L(k)*Pb(k)/Pb(k+1) + r(k+1);$$

where:

$L(k+1)$  is the optimum predicted frame size in time  $k+1$

$L(k)$  is the optimum predicted frame size in time  $k$

$Pb(k)$  is the bit error rate in time  $k$

$Pb(k+1)$  is the bit error rate in time  $k+1$

$r(k+1)$  is the random processing noise at time  $k+1$

The value of  $Pb$  will be affected by the various modulation schemes, noise at the receiving device and other parameters. This means that it is changing dynamically.

This embodiment of the present invention can give a very accurate prediction by comparison with some normally used prediction schemes such as a moving average method. Moreover, the proposed scheme is very easy to implement. The methodology of

proposed scheme is extended to the prediction of other system parameters such as the back off window size in 802.11.

Further understanding of the concepts presented in this discussion of embodiments of the present invention may be aided with reference to the attached drawings. Figure 1 illustrates a typical wireless LAN, characteristic of the systems in which embodiments of the present invention operate, implemented with a server 101 and several communicating devices. Workstation 104 communicates by a direct wireless connection with the communication device of server 101 as do printer 105 and VOIP (voice over internet protocol) enabled voice telephone 106. Theses devices are illustrated for the sake of illustration of direct server communication with peripheral elements in the network which is only one of several means available to a complex network system.

Work center 110 includes the exemplary devices of a desktop PC, a printer, a VoIP phone and a laptop PC. These devices are cable-connected to remote communication device 115 which communicates wirelessly with server 101. Peripheral group 120 includes a VoIP phone and a number of laptop computers. Each of these devices communicate wirelessly with remote wireless hub 102 which is cable-connected to server 101. Most wireless networks also

include a wireless or wired connection to the Internet, illustrated in Figure 1 at 103.

A typical wireless network may contain numberless variations of the devices illustrated in Figure 1 or possibly network elements not illustrated. Nevertheless, each network element uses wireless communication with the server, with its peripheral switching mechanisms or with other elements in the network. The demands on the wireless connections can be enormous in an extensive and complex network.

Figure 2 illustrates a functional block diagram of a computer typical of the kind of device that would employ embodiments of the present invention. Computer system 200 comprises bus 250 which connects processor 201, volatile RAM 202, non-volatile ROM 203 and data storage device 204. Also connected to the bus are display device 205, alpha-numeric input device 206, cursor control 207, and signal I/O device 208 which may use serial, parallel, USB or other wired communication protocol. Infrared communication device 209 and wireless RF communication device are both shown as optional devices in order to illustrate that embodiments of the embodiment of the present invention may be employed in different implementations of wireless networks. However, some means of



wireless communication may be necessary in LANs using any of these embodiments.

Figure 3 illustrates a standard frame format as defined in IEEE 802.11. Header 301 contains 30 bytes (240 bits) of necessary header information including 2 bytes for frame control 311, 2 bytes for identification 312, 6 bytes each for the 1<sup>st</sup>, 2<sup>nd</sup> and 3<sup>rd</sup> address fields 313, 314 & 315 respectively, 2 bytes for sequence control 316 and 6 more address bytes in the 4<sup>th</sup> address field 317. Header 301 is defined in the standard and is not changeable. However, data field 318 is variable from 0 to 2312 bytes and represents the changeability that can be used in this embodiment of the present invention. The 2 byte check sequence (CRC) field 319 allows error checking by the receiver. Note that, though data field 318 is easily changeable in length, in other embodiments of the present invention, other fields may add changeability to the length of a transmission frame.

The frame overhead consists of the fields in the header and the CRC field. The overhead totals 32 bytes (256 bits) which is always included in every frame, whether it includes significant amounts of data or not. Therefore, only data field 318 can be changed in order to alter frame length.

This embodiment of the present invention can employ the calculations discussed earlier to adjust the frame size to an optimum driven by a need for optimum channel efficiency in the face of changing channel quality. One method by which this can be accomplished is illustrated in the block flow diagram shown in Figure 4. There, a potential transmitting device which is ready to transmit data sends a request-to-send (RTS) and receives a clear to send (CTS) at step 410.

At step 420, the previous measurement of bit error rate  $P_b$  is taken as  $P_b$  at time  $k$ , or  $P_b(k)$ . This step sets  $k$  equal to a given time in transmission operation.

The prior optimum frame length prediction is taken at step 430. The value thus attained is labeled as  $L$  at time  $k$ , or  $L(k)$ .

A new measurement of bit error rate is made at 440. The new value is labeled as  $P_b$  at time  $k+1$ , or  $P_b(k+1)$ .  $P_b(k+1)$  is stored at step 445.

A random processing noise assessment is also taken at step 450. The value that results is labeled  $r(k+1)$ .

The optimum frame size is calculated then, at step 460, based on the current and prior measurements. The calculation assumes the

form  $L(k+1)=L(k)*Pb(k)/Pb(k+1) + r(k+1)$ . The calculated optimum frame size is stored at step 465.

The calculated optimum length is then used to adjust the transmitted frame length. This is accomplished by transmitting the appropriate amount of data in an appropriate length frame, at step 470.

A check for more data waiting for transmission is made at step 480. If more data requires transmission, the process begins anew at step 410. If not, the process stops at step 499 in order to await new data.

It is important to note that, though this discussion of embodiments of the present invention refers to optimizing transmission frame size, other system parameters may also be addressed using the same methodology. For example, back-off window size could be optimized using a similar Kalman filtering approach and prediction algorithm.

A novel method for predicting optimum frame size in a wireless LAN has been disclosed. The foregoing descriptions of specific embodiments of the present invention have been presented for purposes of illustration and description. They are not intended

to be exhaustive or to limit the invention to the precise forms disclosed, and obviously many modifications and variations are possible in light of the above teaching. The embodiments were chosen and described in order to best explain the principles of the invention and its practical application, to thereby enable others skilled in the art to best utilize the invention and various embodiments with various modifications as are suited to the particular use contemplated. It is intended that the scope of the invention be defined by the Claims appended hereto and their equivalents.